



OF HAWAII

Fall Symposium September 26, 2019 (Thursday) Ala Moana Hotel – Hibiscus Ballroom

8:00 – 8:30 Visit with Exhibit Partners & Continental Breakfast

8:30 – 9:20 *Cash Balance Pension Plans -- What Are They? The Opportunity*
Accepted for -I- CFP® CE Credit (id#: 265119)

Cash balance plans are becoming more popular but many business owners and their advisors are not aware of the potential benefits these plans can provide. This session will discuss the tax, retirement income, diversification and other benefits of qualified plans. Learn about the unique features of Cash Balance plans, how they work, how they can be combined with 401(k) and other plans to leverage benefits for business owners. Case studies will be presented with examples of real world plan designs.

James R. Norman, Jr., MBA, QPA, ERPA – Economic Group Pension Services, Inc.

Jim is an Enrolled Retirement Plan Agent, enrolled to practice before and represent clients with the IRS on all retirement plan matters and is an expert in the design of 401(k), profit sharing and cash balance pension plans. He has designed over 3,000 retirement plans meeting the needs of clients and adapting the plans to the ever changing tax and labor laws. In 1984 he and a partner founded The Pension Group, Inc. in Orange County, California. Jim and his partner sold their firm to United Retirement Plan Consultants in 2008 and Jim joined EGPS in 2015. He is also a co-founder of Penchecks, Inc., the largest independent retirement plan benefit distribution processor. Founded in 1994, Penchecks processes over \$1 billion in retirement distributions each year.

9:35 - 10:25 *Reaching New Heights*
Accepted for -I- CFP® CE Credit (id#: 249715)

Alan Mallory – Performance Coach | Author | Speaker

Alan took on the challenge and set a world record when he along with 3 other members of his family reached the summit. He holds an engineering degree from Queen’s University and a master’s in psychology from Adler University.

Alan puts specific emphasis on developing a level of trust in professional relationships, recognizing strengths, gaining alignment and commitment working through change. He encourages a lot of innovative thinking from participants. The passion, commitment and resilience to reach new heights in all we do as the strategies and tolls that allow great leaders and committed teams to achieve breakthrough performance. A very special presenter!

10:25 - 10:55 Visit with Exhibit Partners – Snacks & Refreshments

ONE CONNECTION™ for CFP® Professionals

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11:10 - 12:10 ***IRA/401(k) Private Placement/Private Equity***

Accepted for –1– CFP® CE Credit (id: 265204)

Have you considered investing in a private company with an IRA, but weren't sure it was right or how it works? This program will provide you with the facts on private placements purchased with tax deferred dollars, as well as the associated tax advantages. Whether they are local companies or on the mainland, all businesses start out small at some point, but some go on to be pillars of the American business landscape. With this information you will learn the basics of investing retirement funds into the next potential big thing!

Bill Humphrey, CEO and Co-Founder – New Direction Trust Company

Bill is a recognized expert in the self directed retirement industry. He regularly lends his 20+ years as a Certified Public Accountant, background in forensic accounting, and comprehensive understanding about IRA investing to eager audiences at impactful workshops across the country. Bill has also taught classes about health savings accounts and IRA law.

12:15 - 1:15 **Lunch Buffet - Emily Tom -- Director of Retail Operations & Marketing – [Noa Botanicals](#)**

Introduction & history to Hawaii's medical cannabis industry... de-stigmatizing the industry & where the industry is today. Jobs creation in our community, including agricultural opportunities, HR growth due to unique needs of the industry, safety and compliance challenges, and difficult banking issues.

1:15 – 1:30 **Break**

1:30—2:30 ***Guide to the Markets***

Program Provided by JP Morgan –1– CFP ® CE Credit (Quest #263187)

Using the most recent "Guide to Markets", Mr. Fields will share thoughts on the current economic landscape & outlook for 2019, discuss key driver & trends taking place & answer your most pressing questions. Logic and not emotion to help clients make rational investment decisions.



Bob Fields – Managing Director at J.P. Morgan Asset Management

Bob is based in San Francisco and as a fixed income portfolio strategist he follows all sectors of the fixed income market. Prior to join the firm in 2011, he was at PIMCO for 10 years and before that he spent 12 years with Goldman Sachs in New York and San Francisco. He holds an undergraduate degree from Brown University and holds FINRA Series 3, 7, and 63 licenses.

2:30 – 3:30

HOW CONSUMERS ARE PROTECTED WHEN AN INSURANCE COMPANY GOES BROKE

The Hawaii Life and Disability Insurance Guaranty Association is a little known entity with a huge responsibility in keeping insurance safe for its consumers. The structure of HLDIGA along with the consumer protections as required by law will be explained and how an insolvency process is handled. Several insolvencies affecting insurers doing business in Hawaii will be also be reviewed.

Approved -1- Hawaii Insurance Life/Health Rule CE Credit — Course Code: LC218535

Accepted for –1– CFP® CE Credit (id#: 264203)

Peter Inoue, AIFA™ PPC™ -- Executive Director, Hawaii Life and Disability Insurance Guaranty Association

Peter served as the Board Chair since 2001 until he was appointed Executive Director earlier this year. He was the Managing Consultant for Spectrum Pension Consultants and Alliance Benefit Group Hawaii, a third party administration firm. He hold a BS degree from Oregon State University and also worked with the IRS.

PAU HANA to follow....location will be announced....No Host Bar



Bring Your iPad or laptop or print your own handout for lower rate.

(Handouts can be accessed online at: www.fpahawaii.org after September 23rd)

Complete form and mail with payment

REGISTRATION FORM - DEADLINE TO REGISTER SEPT. 19th

Name: _____ Phone: _____

Email: _____

CFP ID#: _____

Check If You're a CPA for CPE Completion _____

Guest Name: _____

CFP ID#: _____

Enclosed is my payment of: \$ _____

GO GREEN RATES: FPA Member or Lunch Participant Fee: \$135 Guest Fee: \$150

Printed Handouts — EXTRA FEEbe sure to add \$10.00 fee to your payment

For a full refund, cancellations must be received by September 19, 2019.

Make your check payable to FPA of Hawaii.

Mail to: FPA of Hawaii -- 516 Kawaihae St #E -- Honolulu, HI 96825

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Questions: Contact Cynthia Takenaka at 394-3451 or email at: hayacyn@hawaii.rr.com

We were planning on credit card payments being enabled by now.....but hopefully in August.